

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/752,9570 Source: 1FW16

Date Processed by STIC:

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

 INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 703-308-4212; FAX: 703-308-4221 <u>Effective 12/13/03</u>: TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry directly to (EFFECTIVE 12/01/03):
 U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 4B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 09/752,9570
ATTN: NEW RULES CASE	ES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
lWrapped Nucleic Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Lengt	th The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do.not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
Patentin 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFW16

RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/752,957D

DATE: 03/01/2004 TIME: 07:12:55

Input Set : A:\251410-1010.ST25.txt

Output Set: N:\CRF4\03012004\I752957D.raw

```
3 <110> APPLICANT: Shiuan, David
      5 <120> TITLE OF INVENTION: Yeast with High Biotin-Productivity and the Preparation
Method
              Thereof
      8 <130> FILE REFERENCE: 251410-1010
     10 <140> CURRENT APPLICATION NUMBER: 09/752,957D
     11 <141> CURRENT FILING DATE: 2001-01-02
     13 <160> NUMBER OF SEQ ID NOS: 5
                                                                   Does Not Comply
                                       in 3.2

Unvalid response - see item 10 on Eva

furnary

threactic tagagtaget 60

Sheet
     15 <170> SOFTWARE: PatentIn version 3.2
     17 <210> SEQ ID NO: 1
     18 <211> LENGTH: 1188
     19 <212> TYPE: DNA
     20 <213> ORGANISM \( \) Nucleic Acid
     22 <400> SEQUENCE: `
     23 atgtcgttta tattgactgc tattagtcgt ccgattgctc tttccacttc tagagtagct
     25 tetagggeta etetggeaac aggtgetaet getgetgegg agatettgga agatgtgtte
    27 acggaacaaa tggaagaagt ggcttcacag gagaagaagc caaacccatt ggaatatgca
    29 ttgtcagtga agacaccagt caacacctgg accaaagaag aaattaaagc tatatatgac
                                                                               240
    31 acaccactca tggacttgat gcactatgct caggtgcaac acagaaggtt ccaacaacct
                                                                               300
    33 teagaggtte aattgtgeae tettatgaat ateaaaaetg gtggttgtae egaggaetgt
    35 aagtactgtg cccaatcaca gcgttacaac actggtgtca aggctgaaag aatcatccaa
                                                                               420
    37 gttgatgagg tgattgaagc tgcaaaggag gcaaaggcca atggatctac aaggttctgt
                                                                               480
    39 atgggtgctg cttggagaga gatgaaaggt agaaagtcaa acttgaagaa aatcaaagag
                                                                               540
    41 atgatcactg ctgtccatga ccttggaatg gagagttgtg tcaccctggg aatggttgat
                                                                               600
    43 aaagaccaag ccactgaatt gaaaagtgct gggttgacgg cgtacaacca taacattgat
                                                                               660
    45 acttacaagg aacactatcc aaaggtgatc tccacaagaa gctttgatga tagattgaaa
                                                                               720
    47 acattcaaaa acgttcaagg atctggatta aaggcatgca caggtggtat tcttggtctt
                                                                              780
    49 ggtgagaccc aagaggaccg tgtatctttc ctctacacct tggccacaat ggatcagcat
                                                                              840
    51 ccagagtete ttecaateaa cagaetggte ccaateaagg gcaegecaat gtatgaagaa
                                                                              900
    53 gttaagaaca agcaagttga agttgatgag attgtcagaa ccattgctac tgcaagattg
                                                                              960
    55 gtcatgccaa cgtctattat cagattggct gcaggaagat atacaatgaa agaggcagaa
                                                                             1020
    57 caggtgatgt gcttcatggc tggttgtaat gccatcttca caggtaagaa aatgctcaca
                                                                             1080
    59 acaatgtgta acggctggga tgaggataaa gccatgttgg ctaaatgggg tctgaaacca
                                                                             1140
    61 atggagagtt tcaaatacaa accaagggag gttgcattcg gtgcttga
                                                                             1188
    64 <210> SEQ ID NO: 2
    65 <211> LENGTH: 30
    66 <212> TYPE: DNA
    67 <213> ORGANISM Gene
    69 <400> SEQUENCE:
    70 gaaagtcgac tcaagatctg tcgtacttaa
                                                                               30
    73 <210> SEQ ID NO: 3
    74 <211> LENGTH: 21
    75 <212> TYPE: DNA
```

76 <213> ORGANISM: Gene

RAW SEQUENCE LISTING

DATE: 03/01/2004

PATENT APPLICATION: US/09/752,957D

D TIME: 07:12:55

Input Set : A:\251410-1010.ST25.txt
Output Set: N:\CRF4\03012004\1752957D.raw

1) invalid (2137 response) 2) This sequence is not an arrivo = acid sequence. 78 <400> SEQUENCE: 3 79 ccgcagttaa atcgacaact q 82 <210> SEQ ID NO: 4 83 <211> LENGTH: 23 84 <212> TYPE: DNA 85 <213> ORGANISM: (Amino Acid 88 <220> FEATURE: 89 <221> NAME/KEY: misc_feature 90 <222> LOCATION: (4)..(4) 91 <223> OTHER INFORMATION: n is a, c, g, or t 93 <220> FEATURE: 94 <221> NAME/KEY: misc feature 95 <222> LOCATION: (6)..(6) 96 <223> OTHER INFORMATION: n is a, c, g, or t 98 <220> FEATURE: 99 <221> NAME/KEY: misc_feature 100 <222> LOCATION: (18)..(18) 101 <223> OTHER INFORMATION: n is a, c, g, or t 103 <400> SEQUENCE: 4 D > 104 tgtncngarg aytgyaanta ttg 23 107 <210> SEQ ID NO: 5 108 <211> LENGTH: 20 109 <212> TYPE: DNA ame error as above 110 <213> ORGANISM Amino Acid 113 <220> FEATURE: 114 <221> NAME/KEY: misc feature 115 <222> LOCATION: (6)..(6) 116 <223> OTHER INFORMATION: n is a, c, g, or t 118 <220> FEATURE: 119 <221> NAME/KEY: misc_feature 120 <222> LOCATION: (8)..(8) 121 <223> OTHER INFORMATION: n is a, c, g, or t 123 <400> SEQUENCE: 5 > 124 gtrtcnanrt trtggttgta 20

RAW SEQUENCE LISTING ERROR SUMMARY

DATE: 03/01/2004 TIME: 07:12:56

PATENT APPLICATION: US/09/752,957D

Input Set : A:\251410-1010.ST25.txt

Output Set: N:\CRF4\03012004\I752957D.raw

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:4; N Pos. 4,6,18 . Seq#:5; N Pos. 6,8

VERIFICATION SUMMARY

DATE: 03/01/2004

PATENT APPLICATION: US/09/752,957D

TIME: 07:12:56

Input Set : A:\251410-1010.ST25.txt

Output Set: N:\CRF4\03012004\1752957D.raw

L:104 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4 after pos.:0 L:124 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5 after pos.:0